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REQUEST FOR CONTINUED EXAMINATION (RCE)  
TRANSMITTAL UNDER 37 C.F.R. §1.114

RCE 1746

DOCKET NUMBER:

FSU-0004

Prior Appln Serial No.:

09/909,992

Filed:

July 23, 2001

Inventor(s):

Susan D. ALLEN

Confirmation No.:

1377

Group Art Unit:

1746

Examiner:

G. Winter

U.S. Patent and Trademark Office

2011 South Clark Place

Customer Window, Mail Stop RCE

Crystal Plaza Two, Lobby, Room 1B03

Arlington, Virginia 22202



Sir:

NOTE: 37 C.F.R. §1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. §1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. §1.114

a.  Previously submitted

- i.  Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on March 15, 2004  
(Any unentered amendment(s) referred to above will be entered).
- ii.
- iii.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_  
Other: \_\_\_\_\_

b.  Enclosed

- i.  Amendment/Reply
- ii.  Affidavit(s)/Declaration(s)
- iii.  Information Disclosure Statement (IDS)
- iv.  Other. Supplement to Information Disclosure Statement (IDS)

2. Miscellaneous

a.  Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of \_\_\_\_\_ months. Fee amount \$130.00 under 37 C.F.R. §1.17(i) enclosed. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. §1.17(i) required).

b.  Other. \_\_\_\_\_

3. Fees  RCE fee required under 37 C.F.R. §1.17(e); **Small Entity \$385.00**, other than small entity \$770.00. The RCE fee under 37 C.F.R. §1.17(e) is required by 37 C.F.R. 1.114 when the RCE is filed.

Extension of time fee (37 C.F.R. §§1.136 and 1.17)

Payment by:

- a.  Check in the amount of \$440.00 (Check No. 11725) enclosed.
- b.  Please charge my Deposit Account No. 16-0607 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge payment of any deficiency in the above fees associated with this communication or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy is enclosed.

Respectfully submitted,  
FLESHNER & KIM, LLP

Mark L. Fleshner  
Registration No. 34,596  
Carol L. Druzick  
Registration No. 40,287

04/19/2004 JBALINAN 00000090 09909992

01 FC:2801 385.00 OP

04/19/2004 JBALINAN 00000090 09909992

02 FC:2251 55.00 OP

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Date: APRIL 16, 2004



Docket No.: FSU-0004

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Susan Davis ALLEN

Serial No. 09/909,992 : Group Art Unit: 1746

Confirm. No.: 1377 : Examiner: G. Winter

Filed: July 23, 2001

For: METHOD AND APPARATUS FOR REMOVAL OF MINUTE PARTICLES  
FROM A SURFACE USING THERMOPHORESIS TO PREVENT  
PARTICLE REDEPOSITION

**SUPPLEMENT TO INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents

Washington, D. C. 20231

Sir:

In supplement to the Information Disclosure Statement filed June 26, 2002, please find attached a copy of references W and Y. Reference X remains unavailable at the present time. References DD and OO are textbooks that were cited for background material purposes only, and due to their volume will not be submitted. Applicant's representative will submit them to the Patent Office as soon as they become available.

Respectfully submitted,  
FLESHNER & KIM, LLP

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P. O. Box 221200  
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703 766-3701  
Date: April 16, 2004